OIPE JC16 JAN 31 2005 PATENT & TRADEMARK OFFICE

32692
Customer Number

Patent
Case No.: 58417US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	COX, LARRY R.		
Application No.:	10/685149	Group Art Unit:	2839
Filed:	October 14, 2003	Examiner:	Le, Thanh Tam T.
Title:	OPTICAL AND OPTO-ELECTRONIC INTERCONNECT ALIGNMENT SYSTEM		

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

1-26-05 Melanie Gover
Date Signed by: Melanie G. Gover

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Jan 26, 2005
Date

By: Melanie Gover
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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number	**10/685149**
Filing Date	**October 14, 2003**
First Named Inventor	**Cox, Larry R.**
Art Unit	2839
Examiner Name	Le, Thanh Tam T.
Attorney Case Number	58417US002

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U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 6,196,856 B1	03-06-2001	De Villeroche	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document Ctry. Code	 Number-KindCode (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
	B1	WO	02/16989 A1	02-28-2002			
	B2	WO	03/081311 A1	10-02-2003			
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	SHIN'ICHI IWANO, et al., "Compact and Self-Retentive Multi-Ferrule Optical Backpanel Connector", Journal of Lightwave Technology 10 (1992) October, No. 10, New York	
	C2	International Search Report for PCT/US2004/028867	
	C3		

*Examiner:	Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.